

CLONES ON A PRIME CARDINALITY UNIVERSE CONTAINING AN AFFINE ESSENTIAL OPERATION

IVO G. ROSENBERG

Dedicated to Professor J. Jakubík on the occasion of his 70th birthday

ABSTRACT. Let p be an odd prime and let δ denote the number of divisors of $p - 1$. We show that there are at most $2^{p\delta+2}$ clones on $\mathbf{p} := \{0, \dots, p - 1\}$ containing at least one essential affine operation. These clones form the principal filter (in the lattice \mathcal{L} of clones on \mathbf{p}) generated by the minimal clone (i.e., an atom of \mathcal{L}) of all idempotent affine operations. We determine this filter and show that each of its clones is finitely generated extending thus a result of Marchenkov ($p = 3$) and Csikós ($p = 5$). For every subset J of \mathbf{p} and the clone K_J of all operations f on \mathbf{p} such that $f(j, \dots, j) = j$, for all $j \in J$, we give a canonical (or normal) representation for each $f \in K_J$.

1. Introduction

Let p be an odd prime. An n -ary operation on $\mathbf{p} := \{0, \dots, p - 1\}$ (i.e., a map from \mathbf{p}^n into \mathbf{p}) is affine if there exist $a_0, \dots, a_n \in \mathbf{p}$ such that $f(x_1, \dots, x_n) \equiv a_0 + a_1x_1 + \dots + a_nx_n \pmod{p}$ holds for all $x_1, \dots, x_n \in \mathbf{p}$. Denote by L_i the set of all idempotent affine operations on \mathbf{p} (most of the concepts used in this section are defined in §2). L_i is a minimal clone on \mathbf{p} (i.e., an atom of the lattice \mathcal{L} of clones on \mathbf{p}). We describe completely the principal filter $[L_i]$ of \mathcal{L} generated by L_i (i.e., the interval $[L_i, O]$ of \mathcal{L} where O is the clone of all operations on \mathbf{p}) or, equivalently, all clones on \mathbf{p} containing at least one essential (i.e., essentially nonunary) affine operation. This principal filter consists of at most $2^{p\delta+2}$ clones (where δ is the number of divisors of $p - 1$) and each of them is finitely generated. The last fact was proved by *ad hoc* methods for $p = 5$ and all clones containing a unary nonaffine operation in [Csi 84].

The filter $[L_i]$ contains the following $2^p - 1$ clones. Let J be a nonempty subset of \mathbf{p} and M the set of all operations f on \mathbf{p} such that $f(j, \dots, j) = j$

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for all $j \in J$ (i.e., $M = \bigcap_{j \in J} \text{Pol}\{j\}$). In §3 we give explicitly a generating set G of M of cardinality $p^2 + p + 3 - |J|$ and a canonical (or normal) form for the representation of every operation from M . This is a more involved modification of the Post algebra generating set for O (based on \max , \min , the peak functions and the constants) and is valid even for nonprime p .

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2. Preliminaries and the main results

DEFINITION 2.1. Let k be a positive integer and $\mathbf{k} := \{0, \dots, k-1\}$. For a positive integer n an n -ary operation on \mathbf{k} is a map $f: \mathbf{k}^n \rightarrow \mathbf{k}$. For $1 \leq i \leq n$ the i th n -ary projection e_i^n is defined by setting $e_i^n(a_1, \dots, a_n) = a_i$ for all $a_1, \dots, a_n \in \mathbf{k}$. Denote by $O^{(n)}$ the set of all n -ary operations on \mathbf{k} and set $O := \bigcup_{n=1}^{\infty} O^{(n)}$. Informally, a clone on \mathbf{k} is a composition (i.e., substitution or superposition) closed subset of O containing all projections; or, equivalently, the set of all term operations of an algebra on \mathbf{k} . Following Maltsev's approach [Mal 66] we give a precise definition. For $f \in O^{(m)}$ and $g \in O^{(n)}$ define $f * g \in O^{(m+n-1)}$ by setting

$$(f * g)(a_1, \dots, a_{m+n-1}) := f(g(a_1, \dots, a_n), a_{n+1}, \dots, a_{m+n-1})$$

for all $a_1, \dots, a_{m+n-1} \in \mathbf{k}$. Let $f \in O^{(n)}$. Define

$$\begin{aligned} (\tau f)(a_1, \dots, a_n) &:= f(a_2, a_1, a_3, \dots, a_n); \\ (\zeta f)(a_1, \dots, a_n) &:= f(a_2, \dots, a_n, a_1), \end{aligned}$$

for all $a_1, \dots, a_n \in \mathbf{k}$ (for $n = 1$ this is interpreted as $\tau f = \zeta f := f$). If $n > 1$, define $\Delta f \in O^{(n-1)}$ by setting

$$(\Delta f)(a_1, \dots, a_{n-1}) := f(a_1, a_1, a_2, \dots, a_{n-1}),$$

while $\Delta f := f$ for every $f \in O^{(1)}$. Clearly $*$ is a binary operation on O . A subset C of O is a *clone* on \mathbf{k} if C is closed under $*, \tau, \zeta$ and Δ (i.e., $C * C \subseteq C, \alpha C \subseteq C$ for every $\alpha \in \{\tau, \zeta, \Delta\}$) and $e_1^2 \in C$. Thus clones are exactly the subuniverses of the algebra $\langle O; *, \tau, \zeta, \Delta, e_1^2 \rangle$ (where e_1^2 is considered as a nullary operation on O) and therefore the set \mathcal{L} of all clones on \mathbf{k} is closed under arbitrary intersections. In particular, for an arbitrary subset F of O there exists the least clone $\langle F \rangle$ containing F . The clone $\langle F \rangle$, called the clone *generated by F* , is the set of all term operations of the algebra $\langle \mathbf{k}; F \rangle$. The clones on \mathbf{k} , ordered by containment, form an algebraic lattice \mathcal{L} . A dual atom (or co-atom) of \mathcal{L} is a *maximal* clone. Thus a clone M is maximal if $M \neq O$ and $M \subset C \subset O$ for no clone C (here \subset denotes the strict inclusion).

EXAMPLE. Let $\phi \neq B \subset \mathbf{k}$. Denote by $\text{Pol } B$ the set of all $f \in O^{(n)}$ such that $f(B^n) \subseteq B$ ($n = 1, 2, \dots$). It is well known that $\text{Pol } B$ is a maximal clone (cf. [Iab 58]).

As usual, a clone C is *finitely generated* if $C = \langle F \rangle$ for some finite subset F of O . In the remainder of §2 and §3, k is an odd prime number p . For $x, y \in \mathbf{p}$ we denote by $x + y$ and xy the elements of \mathbf{p} congruent mod p to the arithmetical sum and product of x and y . An n -ary operation f on \mathbf{p} is *affine* (also linear or quasilinear) if there exist $r_0, \dots, r_n \in \mathbf{p}$ such that

$$f(x_1, \dots, x_n) \approx r_0 + r_1x_1 + \dots + r_nx_n$$

(here and in the sequel \approx denotes an identity over \mathbf{p} ; i.e., both sides are equal for all $x_1, \dots, x_n \in \mathbf{p}$). It is well known that the set L of all affine operations on \mathbf{p} is a maximal clone [Iab 58]. A clone C is *unary* if $C = \{m * e_i^n : m \in M, 1 \leq i \leq n\}$ for some transformation monoid M on \mathbf{p} ; (i.e., $M \subseteq O^{(1)}$ such that $e_1^1 \in M$ and $M \circ M = M * M = M$). It is known ([Sal 64], [B-D 82], cf. also [Sze 86] Prop. 2.9) that the nonunary proper subclones of L are exactly:

- 1) $A_q := \{f \in L : f(q, \dots, q) = q\}$ ($q \in \mathbf{p}$),
- 2) $B := \{r_0 + r_1x_1 + \dots + r_nx_n : n > 0, r_0, \dots, r_n \in \mathbf{p} \text{ and } r_1 + \dots + r_n = 1\}$,
and
- 3) $L_i := \{f \in B : f(0, \dots, 0) = 0\}$.

It is immediate that L_i is the set of all idempotent affine operations on \mathbf{p} (where, as usual, f is idempotent if $f(x, \dots, x) \approx x$). It follows that L_i is the least nonunary clone of affine operations and so $L_i \subseteq \langle F \rangle$ whenever $\langle F \rangle$ contains an essentially nonunary affine operation.

S. V. Marchenkov [Mar 84] showed that every clone on $\mathbf{3}$ containing an essentially nonunary affine operation f and a nonaffine operation g is finitely generated. M. Csikós [Csi 84] gave another proof and generalized it to $p = 5$ provided g is unary. Here we extend this result to all odd primes. Actually, we

do much more by determining all clones containing the above clone L_i (of all idempotent affine operations). The main result, Theorem 2.14 below, also follows from McKenzie's 1976 Theorem [MK 76], which is also a consequence of [MK 78] Theorem 22. We derive it directly using the relational description of clones.

DEFINITION 2.2. Let h be a positive integer. A subset ρ of \mathbf{p}^h is an h -ary relation on \mathbf{p} . An n -ary operation f on \mathbf{p} preserves ρ if for every $h \times n$ matrix $\mathbf{M} = [m_{ij}]$ whose columns belong to ρ , the values of f on the rows of \mathbf{M} form an h -tuple from ρ ; in symbols,

$$(f(m_{11}, \dots, m_{1n}), \dots, f(m_{h1}, \dots, m_{hn})) \in \rho$$

whenever $(m_{11}, \dots, m_{h1}), \dots, (m_{1n}, \dots, m_{hn}) \in \rho$. In algebraic terminology, f preserves ρ if ρ is a subuniverse (i.e., the ground set of a subalgebra) of the power $\langle \mathbf{p}; f \rangle^h$. Notice that every projection preserves ρ . The set of all operations on \mathbf{p} preserving ρ is denoted $\text{Pol } \rho$.

For an h -ary operation f on \mathbf{p} the graph of f is the $(h + 1)$ -ary relation

$$f^\circ := \{(a_1, \dots, a_h, f(a_1, \dots, a_h)) : a_1, \dots, a_h \in \mathbf{p}\}.$$

For example, if $h = 1$, then the binary relation f° is the usual graph (or diagram) of the selfmap f . Let g be an operation on \mathbf{p} . We say that f and g commute (or permute) if $g \in \text{Pol } f^\circ$. It is well known and easy to check that $g \in \text{Pol } f^\circ$ if and only if $f \in \text{Pol } g^\circ$; and so the commutation relation on \mathcal{O} is symmetric.

EXAMPLE. Let m be the ternary operation on \mathbf{p} defined by $m(x, y, z) \approx x - y + z$. It is known that $\text{Pol } m^\circ = L$ (cf. [Sze 86] Prop. 2.1), and so g and m commute exactly if g is affine. The next lemma gives a necessary and sufficient condition for the commutation of affine operations.

LEMMA 2.3. *The operations*

$$\begin{aligned} f(x_1, \dots, x_h) &\approx a_0 + a_1x_1 + \dots + a_hx_h, \\ g(x_1, \dots, x_n) &\approx b_0 + b_1x_1 + \dots + b_nx_n \end{aligned} \tag{2.1}$$

commute if and only if

$$a_0(b_1 + \dots + b_n - 1) = b_0(a_1 + \dots + a_h - 1). \tag{2.2}$$

Proof. The operations f and g commute if and only if

$$g(f(M_{*1}), \dots, f(M_{*n})) = f(g(M_{1*}), \dots, g(M_{h*})) \tag{2.3}$$

holds for every $h \times n$ matrix \mathbf{M} over \mathbf{p} (where M_{i*} and M_{*j} denote the i th row vector and j th column vector of M). Set $\tilde{\mathbf{a}} := (a_1, \dots, a_h)$ and $\tilde{\mathbf{b}} := (b_1, \dots, b_n)$ and denote by $\tilde{\mathbf{e}}_i$ the i -vector $(1, \dots, 1)$. Notice that

$$(f(M_{*1}), \dots, f(M_{*n})) = \tilde{\mathbf{a}} \cdot \mathbf{M} + a_0 \tilde{\mathbf{e}}_n \quad (g(M_{1*}), \dots, g(M_{h*})) = \tilde{\mathbf{b}} \cdot \mathbf{M}^T + b_0 \tilde{\mathbf{e}}_h$$

(where $\tilde{\mathbf{a}} \cdot \mathbf{M}$ is the product of the $1 \times h$ matrix $\tilde{\mathbf{a}}$ and the matrix \mathbf{M} , $a_0 \tilde{\mathbf{e}}_n$ is the product of the $1 \times n$ matrix $\tilde{\mathbf{e}}_n$ by the scalar a_0 , and \mathbf{M}^T is the transpose of \mathbf{M}). Now (2.3) can be written as

$$\tilde{\mathbf{b}} \cdot (\tilde{\mathbf{a}} \cdot \mathbf{M} + a_0 \tilde{\mathbf{e}}_n)^T + b_0 = \tilde{\mathbf{a}} \cdot (\tilde{\mathbf{b}} \cdot \mathbf{M}^T + b_0 \tilde{\mathbf{e}}_h)^T + a_0 \quad (2.4)$$

which can be expressed as

$$\tilde{\mathbf{b}} \cdot \mathbf{M}^T \cdot \tilde{\mathbf{a}}^T + a_0 \tilde{\mathbf{b}} \cdot \tilde{\mathbf{e}}_n^T + b_0 = \tilde{\mathbf{a}} \cdot \mathbf{M} \cdot \tilde{\mathbf{b}}^T + b_0 \tilde{\mathbf{a}} \cdot \mathbf{e}_n^T + a_0.$$

Notice that the 1×1 matrix $C := \tilde{\mathbf{b}} \cdot \mathbf{M}^T \cdot \tilde{\mathbf{a}}^T$ satisfies $\tilde{\mathbf{b}} \cdot \mathbf{M}^T \cdot \tilde{\mathbf{a}}^T = C = C^T = \tilde{\mathbf{a}} \cdot \mathbf{M} \cdot \tilde{\mathbf{b}}^T$ and so (2.4) simplifies to

$$a_0(b_1 + \dots + b_n) + b_0 = a_0 \tilde{\mathbf{b}} \cdot \tilde{\mathbf{e}}_n^T + b_0 = b_0 \tilde{\mathbf{a}} \cdot \mathbf{e}_h^T + a_0 = b_0(a_1 + \dots + a_h) + a_0,$$

which is equivalent to (2.2). \square

DEFINITION 2.4. Let ρ be an h -ary relation on \mathbf{p} and let π be a permutation of $\{1, \dots, h\}$. Set

$$\rho^{(\pi)} := \{(a_{\pi(1)}, \dots, a_{\pi(h)}) : (a_1, \dots, a_h) \in \rho\}. \quad (2.5)$$

It is well known and easy to verify that

$$\text{Pol } \rho = \text{Pol } \rho^{(\pi)}.$$

In the next lemma we characterize the relations σ such that L_i is a subclone of $\text{Pol } \sigma$.

LEMMA 2.5. *Let σ be an h -ary relation on \mathbf{p} such that $1 < |\sigma| < p^h$. Then $L_i \subseteq \text{Pol } \sigma$ if and only if there are: (i) $1 \leq j \leq h$, (ii) affine $(h-j)$ -ary operations f_1, \dots, f_j on \mathbf{p} , and (iii) a permutation π of $\{1, \dots, h\}$ such that*

$$\sigma^{(\pi)} = \{(\tilde{\mathbf{x}}, f_1(\tilde{\mathbf{x}}), \dots, f_j(\tilde{\mathbf{x}})) : \tilde{\mathbf{x}} \in \mathbf{p}^{h-j}\}. \quad (2.6)$$

P r o o f. (\Rightarrow) Consider the h -ary relation σ as a subset of the vector space $GF(p)^h$, and suppose $L_i \subseteq \text{Pol } \sigma$. Since L_i is generated by $x - y + z$, we have $L_i \subseteq \text{Pol } \sigma$ if and only if σ is closed under $x - y + z$, and this is equivalent to σ being a coset of a subspace of $GF(p)^h$. Therefore $\sigma = \rho + v$ for some subspace ρ of $GF(p)^h$, and some element v of $GF(p)^h$. Using elementary transformations

for bases one can easily see that for some permutation π of the coordinates, $\rho^{(\pi)}$ has a basis of the form

$$(0, \dots, 0, \underbrace{1}_{i\text{th place}}, 0, \dots, 0, a_{i,t+1}, \dots, a_{ih}), \quad i = 1, \dots, t,$$

(where $t = \dim \rho$), which immediately implies that $\sigma^{(\pi)}$ is of the required form. (\Leftarrow) Let σ be of the form (2.6) and let $g \in L_i$ be n -ary. By the definition $g(\tilde{x}) \approx \tilde{a} \cdot \tilde{x}^T$ for some $\tilde{a} = (a_1, \dots, a_n) \in \mathbf{p}^n$ such that $a_1 + \dots + a_n = 1$. To prove that g preserves σ , we must show that g commutes with every f_l . The affine $(h-j)$ -ary operation f_l satisfies $f_l(\tilde{x}) \approx b_0 + \tilde{b} \cdot \tilde{x}^T$ for some $b_0 \in \mathbf{p}$ and some $\tilde{b} = (b_1, \dots, b_{h-j}) \in \mathbf{p}^{h-j}$. Clearly g and f_l commute by Lemma 2.3. \square

LEMMA 2.6. *Let f_1, \dots, f_j be n -ary operations on \mathbf{p} and let*

$$\sigma := \{(\tilde{x}, f_1(\tilde{x}), \dots, f_j(\tilde{x})) : \tilde{x} \in \mathbf{p}^n\}.$$

Then

$$\text{Pol } \sigma = \text{Pol } f_1^\circ \cap \dots \cap \text{Pol } f_j^\circ. \tag{2.7}$$

P r o o f. The reader can check directly that an operation preserves σ if and only if it preserves each f_l° . \square

The next lemma determines all clones $\text{Pol } f^\circ$ for f affine. For $a, b \in \mathbf{p}$ define the selfmap s_{ab} of \mathbf{p} by setting $s_{ab}(x) \approx ax + b$. Set $\pi := s_{11}$. The clones A_q and B were introduced in 2.1.

LEMMA 2.7. *Let f be an affine operation on \mathbf{p} . Then $\text{Pol } f^\circ$ is one of the following clones:*

- (i) $\text{Pol } s_{ab}^\circ$ with $a \in \mathbf{p} \setminus \mathbf{2}$ and $b \in \mathbf{p}$,
- (ii) O, B and A_q ($q \in \mathbf{p}$), and
- (iii) $L, \text{Pol } \pi^\circ$, and $\text{Pol } \{q\}$ ($q \in \mathbf{p}$).

P r o o f. Case I: f is (essentially) unary. Then $\text{Pol } f^\circ$ is one of the clones $\text{Pol } s_{ab}^\circ$ ($a \neq 0$) or $\text{Pol } \{q\}$ depending on whether f is a permutation or a constant; moreover all clones $\text{Pol } s_{1b}^\circ$ with $b \neq 0$ are equal to $\text{Pol } \pi^\circ$, while $\text{Pol } s_{10}^\circ$ is of course equal to O .

Case II: f is not essentially unary. Then $\text{Pol } f^\circ \subseteq \text{Pol } m^\circ = L$; i.e., by the description of the subclones of L the clone $\text{Pol } f^\circ$ is one of the clones L, A_q, B, L_i . Here L_i is not of the form $\text{Pol } f^\circ$. \square

R e m a r k s 2.8. 1) The clones $L, \text{Pol } \pi^\circ$ and $\text{Pol } \{q\}$ ($q \in \mathbf{p}$) listed in Lemma 2.7 (iii), are maximal clones ([Iab 58] §§ 16, 18, 19, cf. [P-K79] 4.3.9–15) while it can be easily seen that L_i is a minimal clone (the maximal and minimal clones were defined in 2.1).

2) Notice the following connection between the subclones of L and the superclones of L_i :

$$[L] \rightarrow [L_i], \quad \langle f_1, \dots, f_j \rangle \mapsto \text{Pol } f_1^\circ \cap \dots \cap \text{Pol } f_j^\circ$$

is a surjective, order reversing mapping, which is bijective when restricted to those subclones of L which are themselves of the form $\bigcap_k \text{Pol } h_k^\circ$ for some— not necessarily affine— operations h_k on \mathbf{p} (the so-called bicentrally closed, or primitive positive subclones of L). Obviously, the not essentially unary subclones of L are all of this kind, while among the essentially unary ones, a submonoid M of the unary part of L is the unary part of a bicentrally closed clone if and only if M contains every constant which is a fixed point of a permutation in M (cf. [Sza 84]).

DEFINITION 2.9. Let C be a clone on \mathbf{p} . The clone C is *rational* if $C = \text{Pol } \rho$ for some finitary relation ρ on \mathbf{p} . The clone C is *irrational* if it is not rational. A set of clones on \mathbf{p} is *rational* if all its members are rational.

COROLLARY 2.10. The interval $[L_i] := [L_i, O]$ (of the lattice \mathfrak{L} of clones on \mathbf{p}) is finite and rational.

Proof. According to Lemmas 2.5–2.7 and in view of $\text{Pol } \phi = \text{Pol } \mathbf{p}^h = O$, every rational clone from $[L_i]$ is the intersection of at most $p^2 + 5$ clones listed in Lemma 2.7. By [B-K-K-R 69] every irrational clone is the intersection of a countable chain of rational clones. Since $[L_i]$ contains at most 2^{p^2+5} rational clones, clearly $[L_i]$ is rational and finite. \square

The next two lemmas describe the subinterval $J_q := [A_q, \text{Pol}\{q}]$ of $[L_i]$.

LEMMA 2.11. Let $q, b \in \mathbf{p}$ and $a \in \mathbf{p} \setminus \mathbf{2}$. Then $\text{Pol } s_{ab}^\circ \in J_q$ if and only if $s_{ab}(q) = q$.

Proof. Let $C := \text{Pol } s_{ab}^\circ$.

(\Rightarrow) If $C \in J_q$, then for the constant function q we have $q \in A_q \subseteq C = \text{Pol } s_{ab}^\circ$, whence $s_{ab}(q) = q$.

(\Leftarrow) Let $s_{ab}(q) = q$. Since q is the unique fixed point of s_{ab} , it is straightforward to check that every function commuting with s_{ab} fixes q . Thus $C \subseteq \text{Pol}\{q\}$. The inclusion $A_q \subseteq C$ follows from the fact that L_i and the constant q belong to C , and that L_i together with q generates A_q . \square

Denote by D the set of all divisors of $p - 1$ and set $\mathfrak{D} := (D, \sqsubseteq)$ where $d \sqsubseteq d'$ if d divides d' . Fix a primitive p -th root w (i.e., $w \in \mathbf{p} \setminus \{0\}$) such that $\{w, w^2, \dots, w^{p-1}\} = \mathbf{p} \setminus \{0\}$. Fix $q \in \mathbf{p}$ and for each $d \in \{1, \dots, p - 1\}$ set

$$a_d := w^d, \quad b_d := q(1 - w^d), \quad t_d := s_{a_d b_d}. \quad (2.8)$$

Observe that if $d \neq p - 1$, then $t_d(x) \approx a_d x + b_d$ is a permutation of \mathbf{p} with a unique fixed point q . Further, $t_{p-1}(x) \approx x$. Set $C_d := \text{Pol} t_d^\circ$ for $d \in \{1, \dots, p - 2\}$. Finally, set $C_{p-1} := \text{Pol}\{q\}$. The greatest common divisor of a and b will be denoted by g.c.d. (a, b) .

LEMMA 2.12. *For each $q \in \mathbf{p}$ the set $J_q \setminus \{A_q\}$ is lattice isomorphic to \mathfrak{D} .*

P r o o f. Apart from A_q and $\text{Pol}\{q\}$, among the clones listed in Lemma 2.7 only the clones of the form $\text{Pol} s_{ab}^\circ$ may belong to J_q . According to Lemma 2.11 these are exactly the clones $C_d = \text{Pol} t_d^\circ$ where $d \in \{1, \dots, p - 2\}$ (and t_d was defined in (2.8)). For all $n > 0$ let t_d^n denote the usual n th iteration of the map t_d . An easy induction shows that $t_d^n = t_{nd}$ for all $n \geq 1$, where the subscript of t is understood modulo $p - 1$ (with $p - 1$ replacing 0). Clearly $C_d = \text{Pol} t_d^\circ \subseteq \text{Pol} t_d^{n^\circ} \cap \text{Pol}\{q\} = C_{nd}$. If g.c.d. $(nd, p - 1) = \text{g.c.d.}(d, p - 1)$, then $d \equiv \text{mnd} \pmod{p - 1}$ for a suitable $m \in \mathbf{p}$ and $C_{nd} \subseteq C_{m(nd)} = C_d$ proving $C_d = C_{nd}$. Since there exists an $n \geq 1$ such that $nd \equiv \text{g.c.d.}(d, p - 1) \pmod{p - 1}$, we conclude that $C_d = C_{\text{g.c.d.}(d, p - 1)}$. Thus every clone listed in Lemma 2.7 which belongs to $J_q \setminus \{A_q\}$ is of the form C_d with $d \in \mathfrak{D}$. From the foregoing argument it follows also that $C_d \subseteq C_{d'}$ whenever $d \sqsubseteq d'$ ($d, d' \in \mathfrak{D}$). Here the inclusion is sharp if $d \neq d'$. Indeed, this is obvious if $d' = p - 1$, and for $d' < p - 1$ it can be verified by observing that every nontrivial cycle of $t_{d'}$ (as a permutation on \mathbf{p}) belongs to $C_{d'} \setminus C_d$. Let $d, d' \in \mathfrak{D}$ and let $\delta := \text{g.c.d.}(d, d')$. We show that $C_\delta = C_d \cap C_{d'}$. As this equality is trivial if $p - 1 \in \{d, d'\}$, we assume that $d, d' < p - 1$. The inclusion \subseteq follows from the fact that δ divides both d and d' . It is well known that $\delta \equiv ud + vd' \pmod{p - 1}$ for suitable $u, v \in \mathbf{p}$. Clearly both t_{ud} and $t_{vd'}$ are automorphisms of $A := \langle \mathbf{p}, C_d \cap C_{d'} \rangle$, hence $t_\delta = t_{ud} \circ t_{vd'} \in \text{Aut } A$ proving the required $C_d \cap C_{d'} \subseteq C_\delta$. This, in fact, proves the lemma. \square

R e m a r k s 2.13. 1) The (Hasse) diagrams of J_q for $p = 13, 17, 31$ are in Fig. 1. a)–c).

2) A part of the (Hasse) diagram of the above clones is in Fig. 2. Here $I_d := \text{Pol}\{0\} \cap \dots \cap \text{Pol}\{p - 1\}$ is the clone of all idempotent operations on \mathbf{p} . The interval $[I_d, O]$ is formed by the clones $\bigcap_{q \in Q} \text{Pol}\{q\}$ with $Q \subseteq \mathbf{p}$, and is lattice isomorphic to the dual of the boolean lattice of all subsets of \mathbf{p} .

3) Fig. 2 does not show the clones

$$E_\delta := I_d \cap \text{Pol } u_\delta^\circ \cap \text{Pol } \pi^\circ,$$

where δ is a divisor of $p - 1$ and $u_\delta(x) \approx w^\delta x$ (w is a primitive root of p ; notice that $E_\delta \subseteq \text{Pol } s_{ab}^\circ$ whenever $a = w^m$ and $\delta = \text{g.c.d.}(m, p - 1)$). The clone $\text{Pol } \pi^\circ$ has exactly two maximal subclones: B and $I_d \cap \text{Pol } \pi^\circ = E_{p-1}$ [Sza 84], the least clone containing L_i and the ternary discriminator. The interval $[E_1, E_{p-1}]$ is lattice isomorphic to \mathfrak{D} .

CLONES ON A PRIME CARDINALITY UNIVERSE

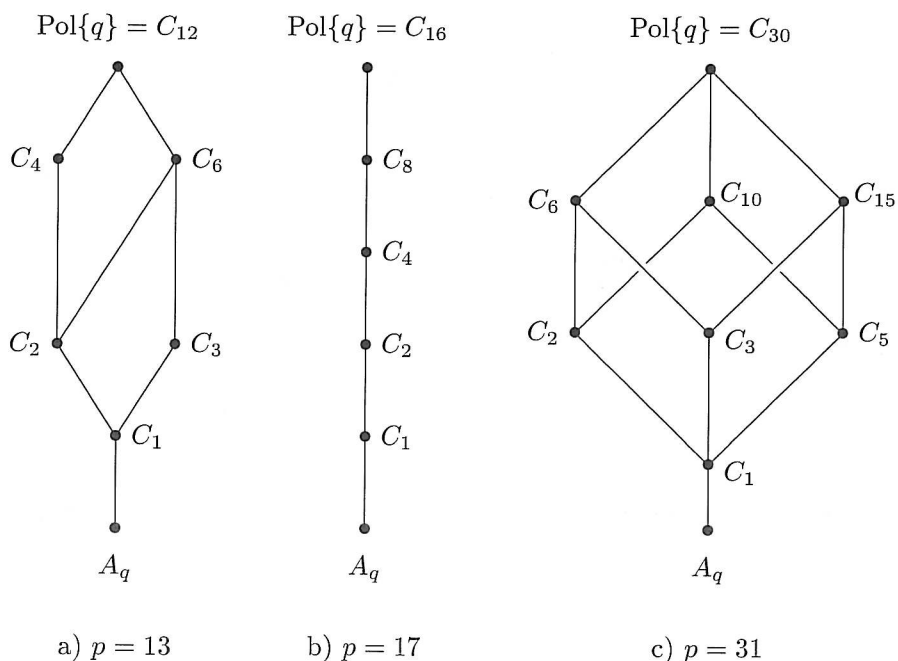


FIG. 1.

THEOREM 2.14. Every clone on p containing an essentially nonunary affine operation is the intersection of a subset of

$$\{L, \text{Pol } \pi^\circ\} \cup \{\text{Pol } \{q\} : q \in \mathcal{P}\} \cup \bigcup_{q \in \mathcal{P}} J_q. \quad (2.9)$$

Proof. Corollary 2.10, Lemmas 2.11–12 and $B = L \cap \text{Pol } \pi^\circ$. □

Remarks 2.15. 1) There is a redundancy in (2.9). Indeed, due to $A_q = L \cap \text{Pol } \{q\}$ we can replace J_q by the set $J_q \setminus \{A_q, \text{Pol } \{q\}\}$ consisting of $\delta - 1$ clones, where δ denotes the number of divisors of $p - 1$. After this reduction we are left with $2 + p + p(\delta - 1) = p\delta + 2$ clones and so the filter $[L_i)$ consists of at most $2^{p\delta+2}$ clones. This upper bound could be improved but this would necessitate a study of the intersections of the clones from $\bigcup_{q \in \mathcal{P}} J_q$.

2) The clone L_i is a minimal clone. As there are only finitely many minimal clones ([Csá 83] for $k = 3$, [Ros 83] for $k > 3$, cf. [Qua 92]) while the lattice \mathcal{L} of all clones is of cardinality 2^{\aleph_0} [I-M 59], clearly $[M)$ is of cardinality 2^{\aleph_0} for some minimal clone M . It seems that the size of $[M)$ for M minimal clone has not yet been investigated even for the case $p = 3$, where all minimal clones are

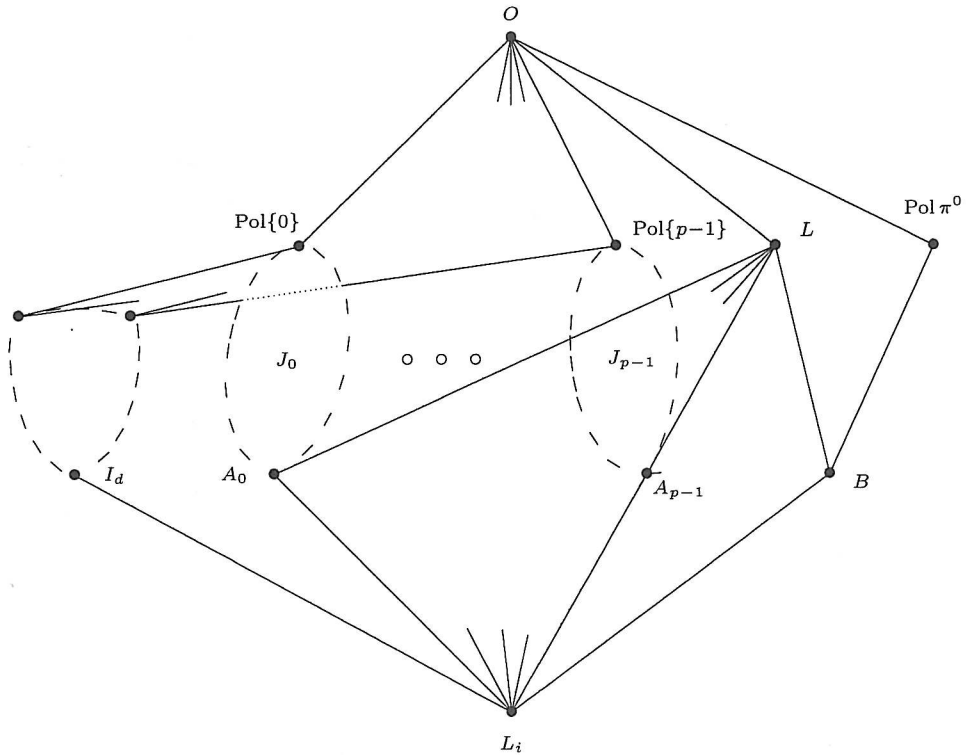


FIG. 2.

explicitly known [Csá 83]. The finiteness of $[L_i]$ seems to be rather exceptional among $[M]$, M minimal clone.

3) A similar question for the maximal clones on \mathbf{k} was completely settled. The ideals $[M]$, where M is a maximal nonaffine clone, are all of size 2^{\aleph_0} . For a maximal affine clone L on \mathbf{k} the ideal $[L]$ is finite if \mathbf{k} is prime ([D-H 83, Mar 79, Mar 83]) and countably infinite if $\mathbf{k} = p^m$, p prime and $m > 1$ [Lau 78].

THEOREM 2.16. *Every clone from $[L_i]$ is finitely generated.*

Proof. $[L_i]$ is finite and L_i is finitely generated. □

3. A canonical form of j -idempotent operations

Remark 3.1. Let $k > 1$ and $\mathbf{k} := \{0, \dots, k-1\}$. Let $J \subseteq \mathbf{k}$ and $K_J := \bigcap_{j \in J} \text{Pol}\{j\}$. Clearly K_J is the clone of all operations f on \mathbf{k} such that $f(j, \dots, j)$

$= j$ for all $j \in J$. In this section we find a set G of cardinality $k^2 + k + 3 - |J|$ generating K_J as well as a canonical (or normal) form expressing every $f \in K_J$ as a term operation of $\langle \mathbf{k}; G \rangle$. Here G is a natural adaption of the standard complete set for O consisting of \max , \min , the k peak functions and the k constants, often called the k -element Post algebra.

For notational simplicity we assume that $J = \mathbf{j} := \{0, \dots, j - 1\}$. Indeed, let π be a permutation of \mathbf{k} . For each n -ary operation f on \mathbf{k} define an n -ary operation f^π on \mathbf{k} by setting

$$f^\pi(x_1, \dots, x_n) \approx \pi^{-1}(f(\pi(x_1), \dots, \pi(x_n))).$$

Clearly π is an isomorphism between the algebras $\langle \mathbf{k}; f \rangle$ and $\langle \mathbf{k}; f^\pi \rangle$. The self-map $\varphi: f \mapsto f^\pi$ of O is an automorphism of the algebra $\langle O; *, \zeta, \tau, \Delta, e_1^2 \rangle$ [Mal 66]; in other words, φ is compatible with composition. Thus results for \mathbf{j} can be transferred to J by choosing π so that π maps \mathbf{j} onto J . We say that an operation f on \mathbf{k} is \mathbf{j} -idempotent if $f(x, \dots, x) = x$ for all $x \in \mathbf{j}$. For $x, y \in \mathbf{k}$ denote by $x \vee y$ and $x \wedge y$ the greatest and the least of x, y . Clearly \vee and \wedge are the lattice operations of the chain $0 < \dots < k - 1$. For $n > 0$ set $K_n := \mathbf{k}^n \setminus \{(0, \dots, 0), \dots, (j - 1, \dots, j - 1)\}$. For $\tilde{\mathbf{a}} \in K_n$ the n -ary \mathbf{j} -idempotent peak operation $\chi_{\tilde{\mathbf{a}}}^n$ at $\tilde{\mathbf{a}}$ is defined by $\chi_{\tilde{\mathbf{a}}}^n(x, \dots, x) := x$ for all $x \in \mathbf{j}$, $\chi_{\tilde{\mathbf{a}}}^n(\tilde{\mathbf{a}}) := k - 1$ and $\chi_{\tilde{\mathbf{a}}}^n(\tilde{\mathbf{x}}) := 0$ otherwise. In Lemmas 3.2–6 we construct all \mathbf{j} -idempotent peak operations from (i) the binary ones, (ii) \vee, \wedge , and (iii) 4 additional binary \mathbf{j} -idempotent operations. For $n = 1$ clearly $K_1 = \mathbf{k} \setminus \mathbf{j}$ and for each $a \in \mathbf{k} \setminus \mathbf{j}$ obviously $\chi_a^1(x) \approx \chi_{(a,a)}^2(x, x)$. Thus let $n > 2$ and let $\tilde{\mathbf{a}} = (a_1, \dots, a_n) \in K_n$. Denote by A the set consisting of a_1, \dots, a_n and put

$$P := \{(r, s) : (a_r, a_s) \in K_2\},$$

$$[x_1, \dots, x_n]_{\tilde{\mathbf{a}}} \approx \bigwedge_{(r,s) \in P} \chi_{a_r a_s}^2(x_r, x_s). \quad (3.1)$$

LEMMA 3.2. *If $A \not\subseteq \mathbf{j}$ or $|A| > 2$, then $[x_1, \dots, x_n]_{\tilde{\mathbf{a}}} = \chi_{\tilde{\mathbf{a}}}^n$.*

Proof. Clearly χ_{ab}^2 and \wedge are \mathbf{j} -idempotent and so by (3.1) the operation $[x_1, \dots, x_n]_{\tilde{\mathbf{a}}}$ is also \mathbf{j} -idempotent. Next $\chi_{a_r a_s}^2(a_r, a_s) = k - 1$ and $P \neq \emptyset$; and therefore $[a_1, \dots, a_n]_{\tilde{\mathbf{a}}} = k - 1$. Finally, let $\tilde{\mathbf{b}} = (b_1, \dots, b_n) \in K_n$ satisfy $\beta := [b_1, \dots, b_n]_{\tilde{\mathbf{a}}} > 0$. We distinguish two cases.

(i) Let $A \not\subseteq \mathbf{j}$. Then some $a_i \geq j$ and so $(l, i) \in P$ for all $1 \leq l \leq n$, $l \neq i$. Set

$$Q := \{1 \leq l \leq n : l \neq i, (b_l, b_i) \neq (a_l, a_i)\}.$$

Consider $l \in Q$. From $\beta > 0$ we see that $\chi_{a_l a_i}^2(b_l, b_i) > 0$. Combined with $(b_l, b_i) \neq (a_l, a_i)$ this gives $b_l = b_i \in \mathbf{j}$. Suppose Q is nonempty. Notice that $Q \subset \{1, \dots, n\} \setminus \{i\}$, since otherwise $\tilde{\mathbf{b}} = (b_1, \dots, b_n) \notin K_n$. Choose $m \in$

$\{1, \dots, n\} \setminus Q$, $m \neq i$. Then $(b_m, b_i) = (a_m, a_i)$, hence $b_i = a_i \geq j$ contrary to $b_i \in \mathbf{j}$. Thus $Q = \phi$ and $\tilde{\mathbf{b}} = \tilde{\mathbf{a}}$ by (3.1).

(ii) Thus let $A \subseteq \mathbf{j}$. Suppose that $\tilde{\mathbf{b}} \neq \tilde{\mathbf{a}}$. Then $M := \{(r, s) : (b_r, b_s) \neq (a_r, a_s)\}$ is nonempty. Notice that due to $\beta > 0$, clearly $b_r = b_s \in \mathbf{j}$ for every $(r, s) \in M$. Choose $1 \leq i \leq n$ so that $a_i \neq b_i$ and set $C := \{1 \leq j \leq n : a_j = a_i\}$. For every $l \in \{1, \dots, n\} \setminus C$ clearly $(i, l) \in M$ and so $b_l = b_i$. By hypothesis $|A| > 2$ and hence $a_i \neq a_j \neq b_i$ for some $1 \leq j \leq n$. Let $c \in C$ be arbitrary. Clearly $(b_c, b_j) = (b_c, b_i) \neq (a_c, a_j)$ and again $b_c = b_j = b_i$. Together $\tilde{\mathbf{b}} = (b_i, \dots, b_i) \notin K_n$. This contradiction shows the required $\mathbf{b} = \tilde{\mathbf{a}}$. \square

DEFINITION 3.3. For the remaining peak operations $\chi_{\tilde{\mathbf{a}}}^n$ with $A \subseteq \mathbf{j}$ and $|A| = 2$ we need the following additional operations.

(1) Let ψ be any binary j -idempotent operation on \mathbf{k} satisfying $\psi(0, x) = \psi(x, 0) = 0$ and $\psi(x, k-1) = k-1$ for all $x \in \mathbf{k} \setminus \{0\}$.

(2) For each $d \in \{0, \dots, k-2\}$ set $c_d(x, x) := x$ for all $x \in \mathbf{j}$ and $c_d(x, y) := d$ for all $(x, y) \in K_2$.

(3) Let $n > 1$, let $0 \leq r < s < j$ and let $\tilde{\mathbf{a}} = (a_1, \dots, a_n) \in \{r, s\}^n$ satisfy $(r, \dots, r) \neq \tilde{\mathbf{a}} \neq (s, \dots, s)$. Let $R := \{i : a_i = r\}$ and $S := \{i : a_i = s\}$.

Denote by ρ and σ the least elements of the nonempty sets R and S . Set $R' := R \setminus \{\rho\}$ and $S' := S \setminus \{\sigma\}$ and define an n -ary operation ε on \mathbf{k} by

$$\varepsilon(x_1, \dots, x_n) \approx \bigwedge_{\nu \in R'} c_0(x_\rho, x_\nu) \wedge \bigwedge_{\nu \in S'} c_0(x_\sigma, x_\nu) \quad (3.2)$$

(where $\bigwedge_{\nu \in \phi} \nu := k-1$). It is easy to verify that $\varepsilon(b_1, \dots, b_n) = z \wedge t$ if $b_u = z$, $b_v = t$ for all $u \in R$, $v \in S$ while $\varepsilon(b_1, \dots, b_n) = 0$ otherwise. Finally, set

$$\nu_{\tilde{\mathbf{a}}}(x_1, \dots, x_n) \approx \psi(\varepsilon(x_1, \dots, x_n), [x_1, \dots, x_n]_{\tilde{\mathbf{a}}}). \quad (3.3)$$

LEMMA 3.4. If $0 < r < s$, then $\nu_{\tilde{\mathbf{a}}} = \chi_{\tilde{\mathbf{a}}}^n$.

P r o o f. $\nu_{\tilde{\mathbf{a}}}$ is obviously j -idempotent. Notice that $\varepsilon(\tilde{\mathbf{a}}) = r \wedge s = r > 0$ and $[a_1, \dots, a_n]_{\tilde{\mathbf{a}}} = k-1$ and therefore $\nu_{\tilde{\mathbf{a}}}(\tilde{\mathbf{a}}) = \psi(r, k-1) = k-1$. Let $\tilde{\mathbf{b}} = (b_1, \dots, b_n) \in K_n$ be such that $\nu_{\tilde{\mathbf{a}}}(\tilde{\mathbf{b}}) > 0$. By Definition 3.3-1) clearly $\beta := \varepsilon(\tilde{\mathbf{b}}) > 0$. By 3) there are distinct $z, t \in \mathbf{k}$ such that $\beta = z \wedge t$, $b_u = z$ for all $u \in R$ and $b_v = t$ for all $v \in S$. Let $\tilde{\mathbf{b}} \neq \tilde{\mathbf{a}}$. From the shape of $\tilde{\mathbf{b}}$ and (3.1) it follows that $[b_1, \dots, b_n]_{\tilde{\mathbf{a}}} = 0$; and therefore $\nu_{\tilde{\mathbf{a}}}(\tilde{\mathbf{b}}) = \psi(\beta, 0) = 0$. \square

We turn to the case $r = 0$. First we consider the case $s < k-1$. Let τ be the binary j -idempotent operation on \mathbf{k} satisfying $\tau(0, k-1) = k-1$ and $\tau(x, y) = 0$ elsewhere on K_2 . Set

$$\lambda_{\tilde{\mathbf{a}}}(x_1, \dots, x_n) \approx \tau(\varepsilon(x_1, \dots, x_n), [x_1, \dots, x_n]_{\tilde{\mathbf{a}}}).$$

LEMMA 3.5. *Let $r = 0$, let $s < k - 1$ and let $\tilde{\mathbf{a}}$ be as in Definition 3.3. Then $\lambda_{\tilde{\mathbf{a}}} = \chi_{\tilde{\mathbf{a}}}^n$.*

Proof. Clearly $\lambda_{\tilde{\mathbf{a}}}(\tilde{\mathbf{a}}) = \tau(0, k - 1) = k - 1$. Let $\tilde{\mathbf{b}} = (b_1, \dots, b_n) \in K_n$ be such that $\tilde{\mathbf{b}} \neq \tilde{\mathbf{a}}$ and $l := \lambda_{\tilde{\mathbf{a}}}(\tilde{\mathbf{b}}) > 0$. Let $e := \varepsilon(\tilde{\mathbf{b}})$. Observe that $e < k - 1$ since $\tilde{\mathbf{b}} \in K_n$ is distinct from $(k - 1, \dots, k - 1)$. Notice that $e > 0$ because otherwise $0 < \lambda_{\tilde{\mathbf{a}}}(\tilde{\mathbf{b}}) = \tau(0, [b_1, \dots, b_n]_{\tilde{\mathbf{a}}}) = 0$. Thus $l = z \wedge t$ where $b_u = z$ for all $u \in R$ and $b_v = t$ for all $v \in S$. As $\tilde{\mathbf{b}} \neq \tilde{\mathbf{a}}$, clearly $[b_1, \dots, b_n]_{\tilde{\mathbf{a}}} = 0$, and $\lambda_{\tilde{\mathbf{a}}}(\tilde{\mathbf{b}}) = \tau(e, 0) = 0$. \square

Finally, we turn to the remaining case $r = 0$ and $s = k - 1$. Since $\{0, k - 1\} = A \subseteq \mathbf{j}$, clearly $\mathbf{j} = k$. Let

$$\xi(x_1, \dots, x_n) := \bigwedge_{\nu \in R'} c_1(x_\rho, x_\nu), \quad (3.4)$$

$$\mu_{\tilde{\mathbf{a}}}(x_1, \dots, x_n) := \tau(\xi(x_1, \dots, x_n), [x_1, \dots, x_n]_{\tilde{\mathbf{a}}}).$$

LEMMA 3.6. *Let $\tilde{\mathbf{a}}$ be an n -tuple from Definition 3.3 corresponding to $r = 0$, $s = k - 1$ and $\mathbf{j} = k$. If $R = \{\rho\}$ then $[x_1, \dots, x_n]_{\tilde{\mathbf{a}}} = \chi_{\tilde{\mathbf{a}}}^n$. If $|R| > 1$ then $\mu_{\tilde{\mathbf{a}}} = \chi_{\tilde{\mathbf{a}}}^n$.*

Proof. 1) Let $R = \{\rho\}$. Clearly $[a_1, \dots, a_n]_{\tilde{\mathbf{a}}} = k - 1$. Suppose that $\beta := [b_1, \dots, b_n] \neq 0$ for some $\tilde{\mathbf{b}} = (b_1, \dots, b_n) \in K_n$. Since $\tilde{\mathbf{b}} \neq (b_\rho, \dots, b_\rho)$, we have $(b_\rho, b_w) = (0, k - 1)$ for some $w \in S$; and so $b_\rho = 0$. By the same argument $b_v = k - 1$ for all $v \in S$ proving $\tilde{\mathbf{b}} = \tilde{\mathbf{a}}$.

2) Let $|R| > 1$. Observe that $c_1(a_\rho, a_\nu) = c_1(0, 0) = 0$ for all $\nu \in R'$ and therefore $\xi(\tilde{\mathbf{a}}) = 0$. Next by (3.1) we have $[a_1, \dots, a_n]_{\tilde{\mathbf{a}}} = k - 1$ and so $\mu_{\tilde{\mathbf{a}}}(a_1, \dots, a_n) = \tau(0, k - 1) = k - 1$. Suppose to the contrary that $\beta := \mu_{\tilde{\mathbf{a}}}(b_1, \dots, b_n) > 0$ for some $\tilde{\mathbf{b}} = (b_1, \dots, b_n) \in K_n$, $\tilde{\mathbf{b}} \neq \tilde{\mathbf{a}}$. Let $z := \xi(b_1, \dots, b_n)$ and $t := [b_1, \dots, b_n]_{\tilde{\mathbf{a}}}$. From the definition of τ either $(z, t) = (0, k - 1)$ or $z = t \in \mathbf{j}$.

(i) Let $z = 0$ and $t = k - 1$. From (3.1) we obtain $b_u \in \{0, k - 1\}$ for all $u \in R$ and $b_v = k - 1$ for all $v \in S$. Since $\tilde{\mathbf{b}} \neq \tilde{\mathbf{a}}$, clearly $\{b_\rho, b_\nu\} = \{0, k - 1\}$ for some $\nu \in R'$; hence $c_1(b_\rho, b_\nu) = 1$ and $z = \xi(b_1, \dots, b_n) = 1$ in contradiction to the assumption $z = 0$.

(ii) Thus let $z = t \in \mathbf{j}$. By (3.1) clearly $\tilde{\mathbf{b}} = (z, \dots, z)$ in contradiction to $\tilde{\mathbf{b}} \in K_n$. \square

Using the \mathbf{j} -idempotent peak operations, the \mathbf{j} -idempotent constants, \wedge and \vee we can easily represent every \mathbf{j} -idempotent operation by the following canonical form.

DEFINITION 3.7. Let f be a j -idempotent n -ary operation on k . For $0 < b < k$ set $F(b) := f^{-1}(b) \cap K_n$ and

$$\varphi_b(x_1, \dots, x_n) \approx \bigvee_{\bar{a} \in F(b)} \chi_{\bar{a}}^n(x_1, \dots, x_n).$$

Clearly φ_b is j -idempotent, takes the value $k-1$ on $F(b)$ and vanishes elsewhere on K_n . Now for all $0 < b < k-1$ set

$$c_b^n(x) \approx c_b(c_b(\dots c_b(x_1, x_2), \dots, x_{n-1})x_n). \tag{3.5}$$

Clearly c_b^n is the j -idempotent n -ary constant with value b on K_n . Set $\gamma_{k-1} := \varphi_{k-1}$ and $\gamma_b := c_b^n \wedge \varphi_b$ for all $0 < b < k-1$. Obviously γ_b is the j -idempotent n -ary operation taking the value b on $F(b)$ and vanishing elsewhere on k_n . From 3.7 we obtain:

THEOREM 3.8. Let $0 < j < k$. If f is an n -ary j -idempotent operation on k distinct from c_0^n , then

$$f = \gamma_1 \vee \dots \vee \gamma_{k-1} \tag{3.6}$$

(where $\gamma_1, \dots, \gamma_{k-1}$ are defined in 3.7).

Remark 3.9. 1) c_0^n has the representation (3.5).

2) The canonical form (3.6) is based on the following binary j -idempotent operations: i) 2 lattice operations: \wedge and \vee , ii) $k^2 - j$ operations χ_{ab}^2 , (iii) $k - 1$ operations c_0, \dots, c_{k-2} and (iv) ψ and τ . It follows that the clone of j -idempotent operations is generated by $k^2 + k + 3 - j$ binary operations.

3) The fact that the operations listed in 2) generate K_J can be verified from the completeness criterion for K_J from [Sze 89] Cor. 2 and [Lau 92].

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Département de mathématiques et de Statistique
Université de Montréal
CANADA
E-mail: rosenb@ere.umontreal.ca